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EXPRESS MAIL NO.: EV335857375US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Bermudes et al.

Application No.: 09/645,415

Confirmation No.: 3240

Filed: August 24, 2000

Group Art Unit: 1632

For: COMPOSITIONS AND METHODS  
FOR TUMOR-TARGETED DELIVERY  
OF EFFECTOR MOLECULES

Examiner: Shukla, Ram R.

Attorney Docket No.: 8002-059-999

**PETITION TO AMEND INVENTORSHIP**  
**UNDER 37 C.F.R. § 1.48 (b)**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

It is respectfully requested that the inventorship of the above-identified application be amended under 37 C.F.R. § 1.48(b) to delete the name of Stanley Lin as a co-inventor so that only the inventors of the claimed subject matter, *i.e.*, David Bermudes, Ivan King, Caroline Clairmont and Michael Belcourt, are named.

The contribution of Stanley Lin was limited to subject matter claimed in claims that have been canceled and are not presently being prosecuted in the present application. In particular, Stanley Lin contributed only to certain claims that are no longer pending in the above-identified application. Thus, the inventorship should be amended to remove his name so that only the actual inventors of the claimed subject matter are named.

Applicants additionally request that upon granting this petition, a new Filing Receipt be issued in connection with the above-identified application, removing the name Stanley Lin, and correctly naming David Bermudes, Ivan King, Caroline Clairmont and Michael Belcourt as inventors.

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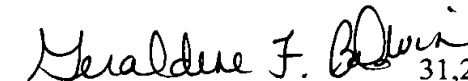
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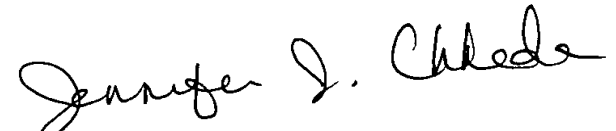
A fee of \$130.00 is believed to be due pursuant to 37 C.F.R. § 1.17(i) and 48(b)(2). Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this Petition is enclosed.

Respectfully submitted,

Date: October 14, 2003

  
Geraldine F. Baldwin 31,232  
(Reg. No.)

**PENNIE & EDMONDS LLP**  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

By:   
Reg No. 46,617



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Express Mail No. EV335857375US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Bermudes et al.

Confirmation No.: 3420

Serial No.: 09/645,415

Art Unit: 1632

Filed: August 24, 2000

Examiner: Shukla, Ram R.

For: COMPOSITIONS AND  
METHODS FOR TUMOR-  
TARGETED DELIVERY OF  
EFFECTOR MOLECULES

Attorney Docket No: 8002-059-999

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants enclose herewith a copy of the Official Filing Receipt issued by the United States Patent and Trademark Office and a marked-up version with the corrections indicated in red ink. Applicants request that the Filing Receipt be corrected to amend inventorship in view of the Petition To Amend Inventorship Under 37 C.F.R. § 1.48(b) submitted concurrently herewith. In particular, Applicants request that the Filing Receipt be corrected to reflect the removal of Stanley Lin as a co-inventor of the present application, thereby naming only David Bermudes, Ivan King, Caroline Clairmont and Michael Belcourt as inventors. Accordingly, Applicants respectfully request that the United States Patent and Trademark Office correct its records and issue a corrected Filing Receipt listing the correct inventors.

It is estimated that no fee is due for correction of the Filing Receipt. However, should the Patent Office determine otherwise, please charge the required fee to Pennie & Edmonds LLP deposit account no. 16-1150. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: October 14, 2003

Geraldine F. Baldwin 31,232  
Geraldine F. Baldwin (Reg. No.)

**PENNIE & EDMONDS** LLP  
1155 Avenue of the Americas  
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By: Jennifer J. Chedea  
1 Reg No. 46,617

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/645,415	08/24/2000	1614	4916	8002-059-999	41	99	19

20583  
 PENNIE AND EDMONDS  
 1155 AVENUE OF THE AMERICAS  
 NEW YORK, NY 100362711

CONFIRMATION NO. 3240

UPDATED FILING RECEIPT



\*OC000000006020584\*

Date Mailed: 04/30/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

David G. Bermudes, Wallingford, CT;  
 Ivan C. King, North Haven, CT;  
 Caroline A. Clairmont, Cheshire, CT;  
~~Stanley L. Lin, Madison, CT;~~  
 Michael Belcourt, Wallingford, CT;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/157,500 10/04/1999  
 WHICH CLAIMS BENEFIT OF 60/157,581 10/04/1999  
 WHICH CLAIMS BENEFIT OF 60/157,637 10/04/1999

**Foreign Applications**

If Required, Foreign Filing License Granted 11/01/2000

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

